

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: David Tuck  
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Serial No.: 09/369,517

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Title: Apparatus and Method for Trading Electrical Energy

Group: 2761

Examiner: Edward R. Cosimano

Attorney Docket No.: ACONT.0101C2



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## INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. 1.97

Assistant Commissioner of Patents  
Washington, D.C. 20231

Sir:

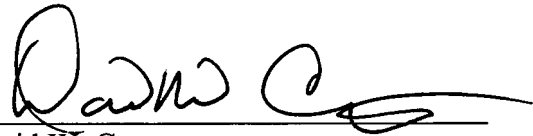
Applicants request that the information listed on the attached Form PTO/SB/08A be considered by the Office during the pendency of the above entitled application, pursuant to 37 C.F.R. 1.97. The present invention is a continuation-in-part of U.S. Non-Provisional Application Serial No. 08/516,646, now U.S. Patent No. 6,115,698, filed on August 18, 1995. Documents referenced in this disclosure were previously provided in parent application and thus, in accordance with MPEP § 609, need not be resubmitted in this continuation application.

Please charge any fees necessary for prosecution of the present application to Deposit Account No. 50-0392. If any extension of time is required, such extension is hereby requested. Please charge any additional required fee for extension of time to Applicant's Deposit Account No. 50-0392. A duplicate copy of this document is enclosed.

In accordance with 37 C.F.R. 1.97(h), the filing of this Information Disclosure Statement shall not constitute an admission that any information cited therein is, or is considered to be, material to patentability as defined in 37 C.F.R. 1.56(b). In the interest of full and complete disclosure to the Office, some or all of the art cited herein may not be considered by Applicant(s) or the Undersigned to be material under the new standards of materiality defined in 37 C.F.R. 1.56(b), enacted March 16, 1992, but may be material under the old standard of materiality defined in 37 C.F.R. 1.56(a), last amended on November 28, 1988, or may merely be technical background which may be of interest to the Examiner. In accordance with 37 C.F.R. 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.

Respectfully submitted,

Date: Nov. 14, 2000



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